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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/520,774

01/11/2005

Tooru Maruyama

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WENDEROTH, LIND & PONACK, L.L.P.

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SUITE 800

WASHINGTON, DC 20006-1021

EXAMINER

NGUYEN, LINH THI

ART UNIT

PAPER NUMBER

2627

DATE MAILED: 11/07/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/520,774	MARUYAMA ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Linh T. Nguyen	2627	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 11 July 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4, 6-23, 25-27 and 29-31 is/are pending in the application.
- 4a) Of the above claim(s) 5, 24 and 28 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4, 6-23, 25-27 and 29-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 11 July 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-4, 6-23, 25-27, and 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Furukawa et al (US Patent Number 6172946) in view of Nomura (US Patent Number 6298024).

In regards to claims 1, 22 and 26, Furukawa et al discloses an optical disc apparatus method and program for reading out information recorded on an optical disc by irradiating an optical beam on the optical disc (Fig. 1), comprising: a rotation unit operable to rotate the optical disc; a moving unit operable to move a spot where the optical beam is irradiated on the optical disc in a radius direction of the optical disc (Fig. 1, element 10); a linear velocity detection unit (Fig. 1, element 12) operable to detect a linear velocity of the spot; a rotation control unit (Fig. 1, element 3) operable to control the rotation unit so that the linear velocity detected by the linear velocity detection unit remains substantially constant at an arbitrary radius location on the optical disc, when information recorded on the optical disc is read out (Column 6, lines 18-24); a moving time control unit operable to control at least one of the rotation unit and the moving unit so as to prevent the linear velocity detected by the linear velocity detection unit from decreasing to a permissible linear velocity or below, when the moving unit moves the

spot (Column 6, lines 34-39). However, Furukawa fails to disclose a type of distinction unit to distinguish a type of optical disc base on a predetermined wavelength and a control unit revises the permissible linear velocity according to the type of the optical disc.

In the same field of endeavor, Noruma discloses a type distinction unit (Fig. 1, element 11) operable to distinguish a type of the optical disc to be an irradiation target of the optical beam (Fig. 1), the type being classified based on a recording sensitivity to a predetermined laser wavelength; and wherein the moving time control unit revises the permissible linear velocity according to the type of the optical disc determined by the type distinction unit (Column 10, lines 31-40). At the time of the invention it would have been obvious to a person of ordinary skill in the art to modify Furukawa optical disc apparatus to have a type of distinction unit to distinguish a type of disc and change the velocity according to the type of disc as Noruma suggested. The motivation for doing so would have been to identify the disc at a shorter starting time (column 2, lines 7-14).

In regards to claims 2, 23 and 27, Furukawa discloses the optical disc apparatus and method according to claim 1, wherein, when the moving unit moves the spot along the radius direction of the optical disc, the moving time control unit is operable to make a location profile indicating a relation between a radius location and a moving time corresponding to the movement of the spot and controls the moving unit so that the spot is moved along the location profile (Column 7, lines 1-9), and the moving time control unit (Fig. 1 element 19; seeking control unit) is operable to revise the location profile so

as to prevent the linear velocity from decreasing (the velocity is at a predetermined rate, therefore, prevent from decreasing) and control the moving unit so that the spot is moved along a revised location profile as the linear velocity detected by the linear velocity detection unit nears to the permissible linear velocity (Column 7, lines 10-23).

In regards to claims 3 and 12, Furukawa et al discloses the optical disc apparatus according to claims 2 and 11, wherein the rotation control unit is operable to make the rotation unit increase rotation velocity of the optical disc when the moving unit moves the spot from an outer radius to an inner radius of the optical disc (Column 6, lines 34-36), and the moving time control unit is operable to revise the location profile so that a moving velocity of the spot is decreased by the moving unit when the linear velocity detected by the linear velocity detection unit nears to the permissible linear velocity during the movement (Column 8, lines 59-64).

In regards to claims 4 and 13, Furukawa et al discloses the optical disc apparatus according to claims 2 and 11, wherein the rotation control unit is operable to make the rotation unit decrease the rotation velocity of the optical disc when the moving unit moves the spot from an inner radius to an outer radius of the optical disc (Fig. 2, Step 201-204), and the moving time control unit is operable to revise the location profile so that a moving velocity of the spot is increased by the moving unit when the linear velocity detected by the linear velocity detection unit nears to the permissible linear

velocity during the movement (Column 7, lines 53-61).

In regards to claim 6, Furukawa et al does not but Nomura discloses the optical disc apparatus, wherein the moving time control unit is operable to make the location profile according to the type of the optical disc determined by the type distinction unit (Column 10 lines 48-57 and Column 11, lines 1-5). The motivation is the same as claim 1 above

In regards to claim 7, Furukawa et al does not but Nomura discloses the optical disc apparatus, further comprising: a focus error (envelope upper and lower peak) output unit operable to output a focus error signal indicating a distance between a focus of the optical beam and the optical disc (Column 6, lines 51-62), wherein the type distinction unit is operable to distinguish the type of the optical disc based on the focus error signal outputted by the focus error output unit (Column 6, lines 65-67). The motivation is the same as claim 1 above.

In regards to claim 8, Furukawa et al does not but Nomura discloses the optical disc apparatus, wherein the type distinction unit is operable to identify an optical beam output necessary for reading out information from the optical disc and determine the type of the optical disc based on a distinction result (Column 7, lines 1-6). At the time of the invention it would have been obvious to a person of ordinary skill in the art to combine Furukawa et al optical disc apparatus to have a type distinction unit (identifying

section) as Nomura suggested. The motivation for doing so would have been to identify the types of disc to properly proceed to the corresponding operation (Column 11, lines 1-5). The motivation is the same as claim 1 above.

In regards to claim 9, Furukawa discloses the optical disc apparatus according to claim 2, wherein the linear velocity detection unit is operable to detect the linear velocity based on a rotation velocity of the optical disc and a radius location of the spot on the optical disc (Column 6, lines 25-36).

In regards to claim 10, Furukawa discloses the optical disc apparatus according to claim 9, wherein the linear velocity detection unit is operable to detect the linear velocity based on moving velocity of the spot moved by the moving unit in the radius direction (Column 5, lines 42-49).

In regards to claim 11, Furukawa discloses the optical disc apparatus according to claim 1, wherein the moving time control unit is operable to change a moving velocity of the spot by the moving unit so as to prevent the linear velocity from decreasing when the linear velocity detected by the linear velocity detection unit nears to the permissible linear velocity (Column 6, lines 27-34; It is obvious that it prevents the velocity to decrease near the permissible velocity because Furukawa points out that the velocity is maintain at a predetermined value.).

In regards to claim 14, Furukawa discloses the optical disc apparatus according to claim 1, wherein the moving unit changes a moving velocity of the spot along the radius direction of the optical disc according to a drive signal obtained from an outside (Column 7, lines 6-9), and the moving time control unit changes the drive signal by applying an offset signal on the drive signal so as to prevent the linear velocity from decreasing when the linear velocity detected by the linear velocity detection unit nears to the permissible linear velocity (Column 7, lines 30-38; whether velocity is fast or slow it will check the rotation control until it maintains at a predetermined rate).

In regards to claim 15, Furukawa et al discloses the optical disc apparatus according to claim 14, wherein the rotation control unit makes the rotation unit increase a rotation velocity of the optical disc when the moving unit moves the spot from an outer radius to an inner radius of the optical disc (Column 7, lines 30-33), and the moving time control unit applies an offset signal which makes it possible to decrease moving velocity of the spot by the moving unit when the linear velocity detected by the linear velocity detection unit nears to the permissible linear velocity during the movement (Column 7, lines 34-38).

In regards to claim 16, Furukawa et al discloses the optical disc apparatus according to claim 14, wherein the rotation control unit makes the rotation unit decrease a rotation velocity of the optical disc when the moving unit moves the spot from an inner radius to an outer radius of the optical disc (Column 7, lines 24-25), and the moving



time control unit applies an offset signal which makes it possible to increase the moving velocity of the spot by the moving unit when the linear velocity detected by the linear velocity detection unit nears to the permissible linear velocity during the movement (Column 7, lines 25-27 and lines 53-61).

In regards to claims 17, 25 and 29, Furukawa discloses the optical disc apparatus and method according to claim 1, wherein the moving time control (Fig. 1, element 19) operation includes adjusting a rotation velocity of the optical disc by the motor (Fig. 1, element 3).

In regards to claim 18, Furukawa discloses the optical disc apparatus according to claim 17, wherein the rotation unit obtains a drive signal outputted by the rotation control unit (Column 5, lines 29-33) and changes the rotation velocity of the optical disc according to the drive signal (Column 7, lines 19-23; when the track is jumped, it is inherent that the signal from the rotation sense a change of position to therefore, change the rotation velocity according to the position of the disk directed from the inner or periphery part), and the moving time control unit amplifies the drive signal so as to prevent the linear velocity from decreasing when the linear velocity detected by the linear velocity detection unit nears to the permissible linear velocity (Column 7, lines 34-38).

In regards to claim 19, Furukawa et al discloses the optical disc apparatus

according to claim 17, wherein the rotation unit obtains a drive signal outputted by the rotation control unit and changes the rotation velocity of the optical disc according to the drive signal (Column 5, lines 29-33 and Column 7, lines 19-23), and the moving time control unit applies an offset signal on the drive signal and changes the drive signal so as to prevent the linear velocity from decreasing when the linear velocity detected by the linear velocity detection unit nears to the permissible linear velocity (Column 7, lines 34-38).

In regards to claim 20, Furukawa et al discloses the optical disc apparatus according to claim 17, wherein the moving time control unit makes the rotation unit transit the rotation velocity of the optical disc so as to make the rotation velocity of the optical disc faster than the rotation velocity (Column 7, lines 53-56) corresponding to a target radius location at the time when the spot reaches to the target radius location of the spot when the moving unit moves the spot to the target radius location along the radius direction of the optical disc (column 7, lines 56-64).

In regards to claim 21, Furukawa discloses an optical disc apparatus for reading out information recorded on an optical disc by irradiating an optical beam on the optical disc, comprising: a focus adjustment unit (Fig. 1, element 9; objective lens) operable to adjust a focus of the optical beam (Fig. 1, element 8) so that the focus is formed on the optical disc (Fig. 1, element 1); a rotation unit (Fig. 1, elements 2) operable to rotate the optical disc; a moving unit (Fig. 1 element 11) operable to move a spot where the optical

beam is irradiated on the optical disc to a radius direction of the optical disc (Fig. 1, element 11 move the optical head 6 to move the optical beam 8); a linear velocity detection unit (Fig. 1 element 4) operable to detect a linear velocity of the spot; a rotation control unit (Fig. 1, element 3) operable to control the rotation unit so that the linear velocity detected by the linear velocity detection unit remains substantially constant on an arbitrary radius location on the optical disc when information recorded on the optical disc is read out (Column 6, lines 25-34); and a focus adjustment stop unit operable to stop a focus adjustment made by the focus adjustment unit in the case where the linear velocity detected by the linear velocity detection unit decreases to a predetermined linear velocity or below, when the moving unit moves the spot (Column 9, lines 25-33).

Claims 30 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fukuwara and Nomura as applied to claim 1 above, and further in view of Nobukuni et al (US Publication Number 20050207310).

In regards to claim 30, Fukuwara and Nomura discloses the optical disc apparatus, wherein the type distinction unit (Fig. 1 element 11) is operable to distinguish one of the following optical discs which is be irradiated by the optical beam. Fukuwara and Nomura do not but Nobukuni et al discloses a first optical disc which needs to be irradiated by an optical beam having a laser wavelength of 405nm and an output of 0.3 mW in order to read out the recorded information; a second optical disc which needs to be irradiated by an optical beam having a laser wavelength of 650 nm

and an output of 1mW in order to read out the recorded information; and a third optical disc which needs to be irradiated by an optical beam having a laser wavelength of 780 nm and an output of 0.7 mW in order to read out the recorded information (It well known in the art that the wavelength and read power are properties of the three type of discs). The motivation is the same as claim 31 below.

In regards to claim 31, Fukuwara and Nomura discloses the optical disc apparatus according to claim 1, wherein the type distinction unit (Fig. 1, element 11) is operable to distinguish one of the following optical disc on which is to be irradiated by the optical beam (Fig. 1).

Fukuwara and Nomura do not but Nobukuni et al discloses a first optical disc on which the spot needs to be moved in the radius direction at a linear velocity of 4.917 m/s in order to read out the recorded information; a second optical disc on which the spot needs to be moved in the radius direction at a linear velocity ranging from 8.16 to 8.49 m/s in order to read out the recorded information; and a third optical disc on which the spot needs to be moved in the radius direction at a linear velocity of 1.3 m/s in order to read out the recorded information (abstract, line 6-10, therefore if there is a reference velocity for CD, it is obvious that DVD and BD will have similar velocity). At the time of the invention it would have been obvious to person of ordinary skill to modify the Furukawa and Nomura optical disc apparatus to reproduce the disc with different linear velocity as taught by Nobukuni et al. The motivation would have been to create a compatible apparatus for all optical disc.

### ***Response to Arguments***

Applicant's arguments filed 7/11/06 have been fully considered but they are not persuasive. Applicant's argue that Nomura does not disclose, "a device that is capable of distinguish a type of the optical recording medium or that the predetermined value is changed according to the type of the optical recording medium." This argument is not persuasive. Nomura does teach a device that is capable of distinguish a type of the optical recording medium base on the classified of the different wavelength (Column 2, lines 30-35; clearly identify the type of optical disc (CD or DVD) base on density of track, therefore, it is obvious that DVD has a higher density and uses different wavelength then CD). The claim does not specified how the medium is identified in the device but merely describe that it detects the type of optical disc being classified based on the laser wavelength. Nomura discloses a device, which identify the disc type (Fig. 1, element 11, output Dtype) by the information properties of the disc (densities and track pitches, which inherently distinguish whether it is a CD/DVD, therefore, it is well known in art that CD/DVD uses different laser wavelength). Noruma also discloses the changes of speed depending on the type of optical disk (column 10 lines 31-34). Therefore, claim 1 is not patentable by Furukawa et al in view of Nomura.

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

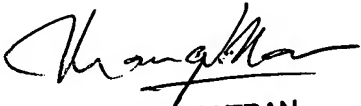
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Linh T. Nguyen whose telephone number is 571-272-5513. The examiner can normally be reached on 8:30am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, A. Wellington can be reached on 571-272-4483. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

LN  
October 19, 2006



THANG V. TRAN  
PRIMARY EXAMINER